

**Selective hydrodeoxygenation of biomass derived 5-hydroxymethylfurfural over silica  
supported iridium catalysts**

R. J. Chimentão<sup>1,§</sup>, H. Oliva<sup>1,§</sup>, J. Belmar<sup>2</sup>, K. Morales<sup>1</sup>, P. Mäki-Arvela<sup>3</sup>, J. Wärnå<sup>3</sup>,

D. Yu. Murzin<sup>3</sup>, J. L. G. Fierro<sup>4</sup>, J. Llorca<sup>5</sup>, D. Ruiz<sup>1,\*</sup>

<sup>1</sup> *Physical Chemistry Department, Faculty of Chemical Science, University of Concepcion,  
Casilla 160-C, Concepción, Chile*

<sup>2</sup> *Organic Chemistry Department, Faculty of Chemical Science, University of Concepcion,  
Casilla 160-C, Concepción, Chile*

<sup>3</sup> *Laboratory of Industrial Chemistry and Reaction Engineering, Åbo Akademi University,  
FI-20500 Turku, Finland*

<sup>4</sup> *Instituto de Catálisis y Petroleoquímica (CSIC), Cantoblanco, 28049, Madrid, Spain*

<sup>5</sup> *Institute of Energy Technologies, Department of Chemical Engineering and Barcelona  
Research Center in Multiscale Science and Engineering, Universitat Politècnica de  
Catalunya, EEBE, 08019 Barcelona, Spain*

§ These authors contributed equally

\* Corresponding author: doruiz@udec.cl

Phone number: +56 (041) 220-3888

## Abstract

Catalytic performance of iridium supported on  $\text{SiO}_2$  was investigated for 5-hydroxymethylfurfural (HMF) transformation. Ir/ $\text{SiO}_2$  catalysts exhibiting different metal loading (1, 3, and 5 wt.%) were tested in the preliminary experiments in the hydrogenation of two probe molecules, e.g. ethyl pyruvate (EP) and ketopantolactone (KP) to evaluate the Ir dispersion on the catalyst activity in C=O hydrogenation. In the transformation of HMF the influence of metal dispersion, iridium precursor and addition of  $\text{H}_2\text{SO}_4$  were studied revealing that 2,5-*bis*-(hydroxymethyl)furan (BHMF) was the main product with 83% selectivity at 70% conversion of HMF over chlorine free Ir/ $\text{SiO}_2$  together with  $\text{H}_2\text{SO}_4$  at 333 K in THF under 10 bar hydrogen. On the other hand, one-pot synthesis of HMF to 2,5-dimethylfuran (DMF) was promoted in the presence of chlorine containing Ir/ $\text{SiO}_2(\text{Cl})$  and  $\text{H}_2\text{SO}_4$ . Both of these products are considered high value-added chemicals from biomass-derived 5-hydroxymethylfurfural. The exposed iridium atoms together with the total acid sites are an important catalytic descriptor for hydrogenation of HMF to BHMF.

*Key-words: iridium, catalyst, 5-hydroxymethylfurfural (HMF), hydrogenation*

## 1. Introduction

Biomass is a sustainable source of renewable chemicals to meet the growing demand for energy [1]. A sustainable production of biobased chemicals in the future depends on the development of new technologies with the desired functional specificity in the transformation of available bioresources [2]. Expected shortages of crude oil will inevitably lead to an increased reliance on coal, natural gas, or renewable sources as feedstocks for the chemical industry. In addition to the shift in the feedstock base, newly developed technologies will have to address other sustainability concerns including environmental impact and energy efficiency [3]. Carbohydrates derived from biomass (primarily cellulosic components of biomass) such as glucose and fructose are economically suitable to be employed as feedstock for the production of useful chemicals (Scheme 1) [4, 5].

5-Hydroxymethylfurfural possesses a high industrial potential for production of value-added chemicals (Scheme 1). The most convenient method for the synthesis of HMF is the acid-catalyzed dehydration of fructose [6]. In the recent years, several extensive reviews have showed that chemistry of HMF formation is based on acid-catalyzed dehydration of hexoses. HMF is considered to be the most promising important intermediate for the synthesis of a wide variety of chemicals [7, 8].

HMF can be used as a starting point to produce a variety of potential furan derivatives and chemical intermediates. One important route for producing chemicals through HMF is a two-step chemical process. HMF contains three functional groups, namely C=O, O-H and C=C in the furan ring (Scheme 1). In particular a variety of chemicals such as 2,5-dimethylfuran ((DMF); 2,5-(dimethyl)tetrahydrofuran (DMTHF), 2,5-*bis*-(hydroxymethyl)furan (BHMF) and 2-hydroxymethyl-5-methylfuran (MFA) (Scheme 2)

can be produced via catalytic hydrogenation of HMF. These compounds can be used as six-carbon monomers that could replace petrochemical-based monomers [4]. DMTHF is an ether with several features making it attractive as a fuel component. It has a boiling point of 92 °C and limited solubility in water. Its energy density of 35.5 MJ/kg is higher than that of ethanol (25 MJ/kg) and comparable to butanol (36 MJ/kg). Synthesis of DMTHF from biomass by Grochowski *et al.* [9] raised interest in this compound as a fuel additive. DMF is also an important compound because it has a potential use in gasoline blends and can be converted to p-xylene through a Diels-Alder reaction with ethylene [10, 11]. DMF has several advantages over ethanol as a gasoline-alternative biofuel. The energy density of DMF (30 kJ cm<sup>-3</sup>) is higher than ethanol and much closer to that of gasoline which improves mileage for the same size of a fuel tank. Another promising property of DMF is its use as a fuel additive to boost octane number (RON) [12]. It must be added that DMF is completely uncompetitive with the food chain [13].

In the first step in HMF hydrogenation C=O group is hydrogenated to give BHMF. Further hydrogenolysis of this intermediate (BHMF) leads to formation of DMF. The intermediate BHMF has two different types of oxygen atoms; ring oxygen and hydroxyl oxygen and only hydrogenolysis of the latter one yields DMF [14]. The previous work also reported that formation of DMF from BHMF hydrogenolysis occurs via MFA as an intermediate [15]. The first step in HMF hydrogenation is a typical hydrogenation of  $\alpha,\beta$ -unsaturated aldehydes, such as crotonaldehyde and cinnamaldehyde [16]. The previous work in ref. [16] revealed that catalysts for hydrogenation of crotonaldehyde and cinnamaldehyde display selectivity to the unsaturated alcohols in the following order: Os > Ir > Pt > Ru > Rh > Pd. Iridium also exhibited efficient catalytic performance for hydrogenation of HMF

carbonyl group [16]. The factors governing intrinsic selectivity of metals have been highlighted by the theoretical calculations of Delbecq and Sautet [17] showing that selectivity over metals can be rationalized in terms of different radial expansions of their *d* bands. The larger is the *d* band, the stronger are the four electron repulsive interactions with the C=C bond and then the lower is adsorption probability. The *d*-band width increases in the series Pd < Pt < Ir. This hypothesis is in line with experimental data for hydrogenation of HMF to BHMF.

Numerous efforts have been devoted to development of hydrogenation catalysts for the production of these furans [18]. One approach is to use formic acid as a chemical hydrogen ( $H_2$ ) carrier to transform HMF to DMF in addition to presence of  $H_2SO_4$  acting as an acid catalyst [19], although formic acid can also act a deoxygenation agent of furanylmethanols. Ruthenium and iridium catalysts have been used with formic acid in HMF hydrogenation to DMF [20]. The hydrogenation of HMF with molecular hydrogen is usually performed in an aqueous medium in the presence of supported Ni, Pt, Au, Mo, Sn, Co, Cr, Ru and Ir catalysts under conditions close to 150 °C and pressure of 5 to 60 bar. High temperature and pressure can lead to over-hydrogenation of the furan ring or promote undesired side reactions [16, 21-23]. Typically, DMF formation was promoted by high reaction temperatures, for example when HMF transformation was performed in THF at 220°C under 15 bar hydrogen, HMF hydrogenation gave 78% yield of DMF with complete conversion [24]. In addition, Ru/Co<sub>3</sub>O<sub>4</sub> gave 94.1% yield of DMF in HMF transformation at 130°C under 7 bar hydrogen in 24 h in THF as a solvent at more than 99% conversion level [23]. Pt and Pd supported catalysts have been the most commonly used heterogeneous catalysts in the conversion of HMF exhibiting high affinity to C=C transformation. Pd based catalysts possess high activity for hydrogenation of the furan ring. Selective

hydrogenation of HMF to BHMF has been demonstrated especially over several catalysts Ru, Pt and Cu supported catalysts [25].

In this work Ir/SiO<sub>2</sub> was selected as a catalyst due to its high potential to be as a selective catalyst for transformation of HMF to BHMFs [26, 27]. Thananattachon *et. al* [28] found that Ir-based catalysts exhibited outstanding activity for the reduction of HMF to BHMF using FA as in-situ H-donor, however, these catalysts showed poor tolerance to FA and lost half of its initial catalytic activity within few minutes. In addition, Ir-ReO<sub>x</sub>/SiO<sub>2</sub> was very selective (>99%) in HMF hydrogenation to BHMF at more than 99% conversion level under mild conditions, e.g. 30°C under 8 bar hydrogen in 6 h in water [27]. Ir/TiO<sub>2</sub> was also very selective towards BHMF in HMF hydrogenation in water giving the yield of 95.4% at 99.5 % conversion level in HMF hydrogenation at 50°C under 60 bar hydrogen in 3 h [26]. Some liquid Brønsted acids such as H<sub>2</sub>SO<sub>4</sub> and H<sub>3</sub>PO<sub>4</sub> were tested in different systems of biomass derived compounds as a potential strategy to enable an efficient one-pot synthesis of DMF from HMF [29]. The efficiency of reactants is increased in one-pot reactions by avoiding steps of intermediates isolation or changing the reaction conditions [30].

The support is not a mere spectator in HMF hydrogenation, instead directly participating in the reaction [31]. The intrinsic properties of the supports and the metal support interactions affect the catalytic performance. Different supports have been reported in the HMF transformation such as Al<sub>2</sub>O<sub>3</sub>, chromia, zeolites (HY and NaY) and SiO<sub>2</sub>. Alumina is an acidic support which can catalyze secondary reactions such as dehydration, polymerization and cracking leading to coke deposition on the catalyst surface and to blockage of the active sites [32]. Toxicity associated with Cr species in the chromium oxide catalysts necessitates development of a new generation of catalysts [33]. Acidity of zeolites, in particular HY zeolite is responsible for more pronounced side reactions such as ring

opening through breakage of the furanic C-O bond being typically catalyzed by Brønsted acid sites [34]. SiO<sub>2</sub> is an excellent candidate as a support due to its high specific surface area. In addition, mesostructured silica is thermally stable and exhibits weak acidic strength [35].

Several solvents exhibiting different chemical nature, have been used in HMF hydrogenation, such as aprotic nonpolar (toluene), aprotic polar (tetrahydrofuran (THF), dimethyl sulfoxide (DMSO) and acetonitrile (CH<sub>3</sub>CN)) and protic (2-propanol) were used to investigate the effect of solvent on HMF transformations [24]. Solvents containing nitrogen (CH<sub>3</sub>CN) or sulfur (DMSO) are known to deactivate metal based catalysts due to interactions of the lone pair of electrons on nitrogen/sulfur particularly with the empty *d* orbitals of transition metals [36]. These interactions may result in blocking of metal active sites for HMF adsorption promoting deactivation. Concerning toluene it must be added that toluene and HMF compete for the same active sites thus suppressing HMF conversion [24]. Previous work [37] reported that selectivity to DMF from HMF catalytic transformations in aqueous medium did not exceed 1%. Furthermore, DMF is not soluble in water. The highest catalytic performance for HMF transformations was reported for THF as a solvent [24].

Since Ir supported catalysts have been very scarcely used in selective hydrogenation of HMF to BMHF [26, 27], Ir supported on silica was selected as a promising catalyst to investigate the effect of iridium dispersion on hydrogenation of HMF to BMHF using THF as solvent. In the preliminary work the selection of an optimum metal loading in Ir/SiO<sub>2</sub> catalyst was done by hydrogenation of two probe molecules, e.g ethyl pyruvate (EP) and ketopantolactone (KP), which have shown to be very sensitive to the method of the catalyst preparation [38]. After selection of the optimum metal loading for Ir/SiO<sub>2</sub> catalyst, this

catalyst was used in selective HMF hydrogenation to BMHF. One of the main aims was to reveal the role of the iridium precursor by using chlorine-containing precursors and a chlorine-free route. Hydrogenolysis of HMF to DMF was also evaluated by combination of iridium catalysts with  $\text{H}_2\text{SO}_4$ , despite of the fact that the presence of strong acid sites may cause side reactions during HMF conversion such as ring opening [39]. An appropriate combination of metal and acid sites may result in a suitable hydrogenation and hydrogenolysis of carbonyl and hydroxyl groups respectively for selective hydrogenation of HMF to DMF [14].

The physical-chemical structure of the iridium catalysts was investigated by a range of physical method including using X-ray diffraction (XRD),  $\text{N}_2$ -physisorption, transmission electron microscopy (TEM), X-ray photoelectron spectroscopy (XPS), temperature-programmed desorption of  $\text{NH}_3$  ( $\text{NH}_3$ -TPD), temperature programmed reduction (TPR), chemisorption of hydrogen, annular dark-field scanning transmission electron microscopy (HAADF-STEM) and scanning electron microscopy with energy dispersive X-ray spectroscopy (SEM-EDS).



## 2. Experimental

### 2.1 Chemicals

All solvents were previously purified by traditional distillation methods and the preparation of catalysts was performed in inert media. Precursors and substrates were used as received.

Ethyl pyruvate ( $\geq 98\%$ ), ketopantolactone ( $\geq 97\%$ ), 5-hydroxymethylfurfural ( $\geq 98\%$ ), toluene ( $\geq 98\%$ ), *bis*(1,5-cyclooctadiene)diiridium(I)dichloride ( $[\text{Ir}(\mu\text{-Cl})(\eta^2\text{-C}_8\text{H}_{14})]_2$ ), 97%) and Iridium(III) acetylacetonate ( $\text{Ir}(\text{C}_5\text{H}_7\text{O}_3)_3$ , 97%), abbreviated as IrClCO and  $\text{Ir}(\text{acac})_3$  precursors respectively, were purchased from Sigma-Aldrich. Tetrahydrofuran ( $\geq 99\%$ ), n-hexane ( $\geq 99\%$ ) and sulfuric acid (98%) were supplied by Merck. Nitrogen and hydrogen, both 99.999%, were supplied by Linde/AGA.

### 2.2 Catalysts Preparation

$\text{Ir}/\text{SiO}_2$  catalysts were synthesized from IrClCO and  $\text{Ir}(\text{acac})_3$  precursors and labeled  $\text{Ir}/\text{SiO}_2(\text{Cl})$  and  $\text{Ir}/\text{SiO}_2$  catalysts respectively. Preparation was carried out in THF using the evaporation-impregnation method and  $\text{SiO}_2$  of the size 100-120  $\mu\text{m}$ . The metal loading on  $\text{SiO}_2$  was varied from 1 wt.% to 5 wt.%, synthesizing 1%  $\text{Ir}/\text{SiO}_2(\text{Cl})$ , 1%  $\text{Ir}/\text{SiO}_2$ , 3%  $\text{Ir}/\text{SiO}_2$  and 5%  $\text{Ir}/\text{SiO}_2$  catalysts. Catalysts were dried and activated by reduction under  $\text{H}_2$  (30 mL  $\text{min}^{-1}$ ) for 2 h at 723 K.

### 2.3 Catalyst Characterization

Specific surface area, pore diameter and pore volume were determined from nitrogen adsorption measurements carried out at 77 K in a Micromeritics TriStar 3020 equipment. The samples were first out gassed at 423 K overnight. Calculation of the surface area and

pore volume was carried out using Brunauer-Emmett-Teller and Barrett, Joyner and Halenda (B.J.H) methods, respectively.

DRX analysis was performed on a Bruker diffractometer model D4Endeavor equipped with a Nickel filter and a Cu-K $\alpha$  X-ray source. The analysis conditions were 40 kV and 20 mA. The diffractograms were recorded in a range of Bragg angles ( $2\theta$ ) between 2 ° and 90 ° at 0.02 counts per second.

The transmission electron microscopy analysis was used to study the structural properties and to evaluate the metal particle size of silica supported metal catalysts. TEM analysis was performed in a Jeol Model JEM-1200 EXII Equipment analyzing more than 1000 metallic particles in each sample.

The microscope used for high-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) and for analysis of Energy Dispersive Spectroscopy (EDS) was a FEI Tecnai F20 equipped with a field emission electron gun and operating at 200 kV. The samples were dispersed in an alcohol suspension and a drop of the suspension was placed over a grid with holey-carbon film.

The acid properties of catalysts were measured by temperature programmed desorption of ammonia. Measurements were carried out using a TPR/TPD 2900 Micromeritics apparatus, equipped with a thermal conductivity detector. The acidity of each catalyst was calculated from the amount of NH<sub>3</sub> desorbed from room temperature to 1223 K at 10 K min<sup>-1</sup>.

TPR analysis was carried out on a TPR/TPD 2900 Micromeritics apparatus provided with thermal conductivity detector. The carrier gas was 5% H<sub>2</sub>/Ar in flow of 40 mL min<sup>-1</sup> and a heating rate of 10 K min<sup>-1</sup> from room temperature to 873 K.

The XPS analysis was performed on a Fisons Escalab 200R spectrometer, with a hemispheric analyzer of Mg K $\alpha$  X-rays radiation as a source ( $h\nu$  of 1253.6 eV), operated at 10 mA and 12 kV. All catalysts analyzed by XPS were pretreated in situ in a high pressure cell with H<sub>2</sub> flow at room temperature for 30 minutes. The binding energies of the XPS spectra were referred to the carbon component (C1s, 284.8 eV) and internal silicon reference (Si 2p, 103.4 eV). The chemisorption analysis was performed in a Micromeritics ASAP 2020 instrument. The iridium sample was heated in He flow at 400 K for 1 h; then the He flow was replaced by H<sub>2</sub> flow and the temperature was increased to the correspondent reduction temperature (623 K). The iridium sample was reduced for 2 h and subsequently out-gassed for 30 min. Finally the iridium sample was cooled to 310 K and evacuated at 310 K for 30 min. The hydrogen adsorption isotherm was recorded at 310 K. After evacuation at 310 K for 30 minutes, a second H<sub>2</sub> isotherm was obtained. The chemisorbed H<sub>2</sub> uptake was obtained by the double isotherm method. The number of exposed iridium atoms was calculated assuming spherical particles and stoichiometric factor H/Ir = 1 (atomic chemisorbed hydrogen per surface of iridium atom).

#### *2.4 Catalytic activity tests*

Reactions were carried out in a semi-batch glass-lined stainless steel reactor with a volume of 250 mL which was equipped with a heating jacket, a gas inlet, a sampling line and a temperature controller.

Hydrogenation of EP and KP as probe molecules was performed using the initial molar ratio of substrate/Ir of 100/1, under constant pressure of H<sub>2</sub> (10 bar) in 80 mL of toluene at 298 K and stirring rate of 800 rpm. In all experiments, prior to the reaction the loaded

reactor was flushed with nitrogen and hydrogen and then pressurized to the desired initial pressure.

Valorization reactions of HMF were carried out under constant pressure of  $H_2$  (10 bar) in 80 mL of THF at 333 K, using a molar ratio HMF/Ir of 100/1 and stirring rate of 800 rpm. Some experiments were performed with an addition of small amounts of acids to the reaction media in a molar ratio of HMF/ $H_2SO_4$  equal to 10/1.

Recycling tests were carried out in hydrogenation reaction of HMF, recovering and using 3 times the same catalyst in each reaction respectively. The recovered catalysts were washed in 20 mL of n-hexane and dried under vacuum prior to a new use.

The samples from the reactor were withdrawn at different time intervals and analyzed with a GC-MS Clarus 680 and SQ8T-headspace Perkin Elmer equipped with a  $\beta$ -dex column (length 30 m, diameter 225  $\mu$ m, film thickness 0.25  $\mu$ m). Helium was used as a carrier gas. GC response factors were determined for substrates and products by analyzing solutions with known concentrations. BHT impurity present in the THF solvent was used also as an internal standard in the GC analysis.

The temperature of the GC injector was fixed at 513 K with the column detector at 533 K. The oven temperature was set at 363 K for 6 min followed by heating (10 K  $min^{-1}$ ) up to 443 K and finally this temperature was keeping for 30 minutes. Specifically HMF (Sigma-Aldrich,  $\geq 98\%$ ) and its hydrogenation products, BHMF (Santa Cruz Biotechnology,  $\geq 98\%$ ), DMTHF (Sigma-Aldrich,  $\geq 96\%$ ) and DMF (Sigma-Aldrich,  $\geq 99\%$ ) were detected and quantified from the peaks with the retention time of 16.2, 10.8, 2.9 and 2.7 minutes respectively.

### 3. Results

#### 3.1 Catalyst characterization

Table 1 compiles the BET surface area, pore volume and pore size of the samples. SiO<sub>2</sub> exhibits a surface area of 293 m<sup>2</sup>/g. When the iridium species were introduced by impregnation in the silica support, its surface area, pore size and pore volume decreased. For instance 5%Ir/SiO<sub>2</sub> catalysts had a surface area of 284 m<sup>2</sup>/g and pore volume of 0.706 cm<sup>3</sup>/g. The decrease in the surface area and pore volume after impregnation of the support by the iridium precursor solution may indicate a partial filling of the pores by iridium species. All samples display a typical IV isotherm with a H1 type hysteresis loop (Figure 1). This kind of adsorption is characteristic of mesoporous materials with 2 D-hexagonal structure [40].

A diffraction pattern on a silica supported iridium catalysts (Figure 2) consists of mixture of a highly dispersed iridium species particularly for 1%Ir/SiO<sub>2</sub>. The silica support exhibit a broad peak in the 2 $\theta$  range of 15°-30° which is assigned to the amorphous silica. Those assigned to metallic Ir are observed at 2 $\theta$  = 40.7°, 47.3°, 69.1° and 83.4° for the reduced 3%Ir/SiO<sub>2</sub> and 5%Ir/SiO<sub>2</sub> samples. Diffraction peaks at 2 $\theta$  = 28.0°, 34.7°, 40.1° and 54.0° assigned to IrO<sub>2</sub> that could be indexed to the standard diffraction data (JCPDS 15-0870) due to the rutile-type IrO<sub>2</sub> were not detected.

TEM of the iridium based catalysts is shown in Figure 3. Figure 3a shows that Ir nanoparticles in 1%Ir/SiO<sub>2</sub> catalyst are well dispersed on the support of SiO<sub>2</sub> and the average size of Ir is ca. 1.6 nm. For 3%Ir/SiO<sub>2</sub> catalyst (Figure 3b) the average size of iridium nanoparticles was ca. 1.8 nm while for 5%Ir/SiO<sub>2</sub> the average iridium particle size

was somewhat larger being 2.2 nm (Figure 3c). These results suggest that as the iridium content increases the metal particle size increases. This is plausible since transition metals form only weak van der Waals interactions with the silica support [41].

A complementary study of 1%Ir/SiO<sub>2</sub> showing high angle annular dark field (HAADF) images obtained in STEM mode of the sample is presented in Figure 4a. With this technique (Z-contrast) the Ir nanoparticles appear as bright dots over SiO<sub>2</sub> groundmass. The sample contains individual Ir nanoparticles having  $1.8 \pm 0.4$  nm in diameter with a remarkably narrow size distribution in agreement with the TEM image (Figure 3a).

Figures 4b and 4c correspond to HAADF of the catalyst containing chlorine 1%Ir/SiO<sub>2</sub>(Cl). Clearly, the distribution of Ir particles is quite heterogeneous, with a mean particle size of  $4.7 \pm 2.8$  nm. This value is considerably higher than that of the sample without Cl. Energy dispersive X-ray (EDS) analysis performed for individual Ir particles confirms the presence of Ir, while no signal attributable to chlorine was detected. The EDS analysis corresponding to the particle inside the white square is given in Figure 4b. On the contrary STEM-EDS performed over the bare support (Figure 4b and 4c) of 1%Ir/SiO<sub>2</sub>(Cl) indicated the presence of a minor amount of Cl and that the Ir particles in this case are larger and much more heterogeneous in size.

In addition, it can be seen that dispersion of iridium particles determined by TEM agrees well with the corresponding dispersion values obtained from chemisorption analysis especially for 1%Ir/SiO<sub>2</sub>, 3%Ir/SiO<sub>2</sub> and 5%Ir/SiO<sub>2</sub> catalysts (Table 2). Noteworthy to remark that the presence of chlorine in 1%Ir/SiO<sub>2</sub>(Cl) sample decreased the quantity of chemisorbed hydrogen to the lowest observed value ( $0.1 \mu\text{mol/g}_{\text{cat}}$ ) compared to other catalysts (Table 2). Heterogeneous and larger iridium particle for 1%Ir/SiO<sub>2</sub>(Cl) are responsible for a decrease in the quantity of adsorbed hydrogen. It cannot be discarded that

some adsorbed chlorine species on the iridium catalyst surface may block the active sites of the catalyst for hydrogen adsorption by forming a strong metal chlorine bond.

It must be added that a direct reduction in  $H_2$  constitutes an efficient treatment to produce well dispersed iridium based catalysts prepared from organometallic precursors [42]. The direct reduction was chosen in the catalyst preparation done in present work instead of an oxidative treatment. The latter treatment may induce combustion of the organic ligands releasing too much heat and inducing thereby uncontrolled migration of iridium. In addition, oxidative treatment may generate iridium oxide species ( $IrO_2$ ) which have a strong tendency to agglomerate forming large crystallites [42].

The distribution of iridium species before reduction may govern the iridium dispersion in the reduction step. The porous structure of the support may also play a strong role in the size and distribution of iridium particles especially when there are only weak interactions between the iridium precursor and silica substrate. The chemical nature of the support in general strongly affects interactions with metal particles. Weak interactions between  $SiO_2$  and iridium species may not prevent diffusion and sintering of iridium species during activation process via reduction in hydrogen. In this work, this behavior can be minimized in synthesis of catalysts with small Ir particle sizes and high dispersion by direct reduction of the impregnated catalysts with  $H_2$ .

Removal of the solvent during drying may concentrate the impregnating solution to the point where crystallization of the metal precursor begins, forming a nucleus within the pores. Further drying may crystallize the iridium precursor in the amount related to the pore volume [43]. This feature may tentatively explain the fact that iridium dispersion is higher for low Ir loading as suggested by TEM analysis.

The most conclusive observation about the effect of the iridium precursor is in the metal dispersion. The 1%Ir/SiO<sub>2</sub>(Cl) sample has particles much more heterogeneous in size compared with 1%Ir/SiO<sub>2</sub> sample. The reduction step may presumably promote removal of the cyclooctene ring of the iridium precursor by reduction and it may release HCl according to the previous work [44]. Halogen compounds are typically used to redisperse noble metal particles and numerous works have been published in this field [45]. Sintering and redispersion of supported metal particles are mainly governed by the balance between surface tension of supported particles and metal support interactions [46]. Chlorine species may be still bound to the surface after the reduction treatment. Residual chlorine species can be tenaciously bound to the surface of the metal species as confirmed by STEM-EDS analysis for 1%Ir/SiO<sub>2</sub>(Cl) sample (Figure 4b).

Figure 5 shows NH<sub>3</sub>-TPD profiles of the supported iridium catalysts (1%Ir/SiO<sub>2</sub>, 1%Ir/SiO<sub>2</sub>(Cl), 3%Ir/SiO<sub>2</sub> and 5%Ir/SiO<sub>2</sub>). A very weak NH<sub>3</sub> desorption peak at a wide temperature range of 573-873 K is detected for 1%Ir/SiO<sub>2</sub>, 3%Ir/SiO<sub>2</sub> and 5%Ir/SiO<sub>2</sub> catalysts. Generally, the strength of the acid sites, based on NH<sub>3</sub> desorption temperature, can be classified as weak (<523 K), medium (523 - 673 K) and strong acid sites (above 673 K). The peaks observed in the lower temperature range are attributed to desorption of NH<sub>3</sub> from weak Lewis acid sites and weakly acidic silanol groups [47]. The desorption of NH<sub>3</sub> observed a higher temperature range is assigned to Brønsted and Lewis acid sites.

For 1%Ir/SiO<sub>2</sub>(Cl) the amount of acid sites at both lower and higher NH<sub>3</sub>-desorption temperature is higher (Figure 5) in comparison with other catalysts. An increase in the total amount of the acid sites may be attributed to the presence of residual chlorine in 1%Ir/SiO<sub>2</sub>(Cl) catalyst which was prepared by impregnation of the chlorine containing precursor. The quantification of the total amount of the acid sites (Table 3) revealed that the



1%Ir/SiO<sub>2</sub>(Cl) catalyst presented the highest total quantity of acid sites with a value of 396  $\mu\text{mol g}_{\text{cat}}^{-1}$ .

H<sub>2</sub>-TPR profile of 1%Ir/SiO<sub>2</sub> (Figure 6) exhibits a hydrogen consumption peak at around 400 K due to the reduction of larger IrO<sub>2</sub> agglomerates. In addition, a second H<sub>2</sub> consumption peak is observed ca 490 K which may correspond to highly dispersed iridium species. It must be added that a minor H<sub>2</sub> consumption observed at temperatures higher than 600 K may be due to the presence of iridium species acting as anchoring sites at the interface of the metal nanoparticle with SiO<sub>2</sub> [48, 49]. These iridium species are assigned to Ir<sup>+δ</sup> cations in the interface between iridium and SiO<sub>2</sub> support. No reduction signals were seen in the H<sub>2</sub>-TPR of SiO<sub>2</sub> alone. According to the previous work [50] hydrogen consumption of the lower reduction peak in general increases and shifts to a lower temperature with increasing Ir loading, while a higher reduction temperature peak almost does not change. It was observed that the presence of chlorine species delayed iridium reduction (Figure 6).

The Ir4f core-level spectra of the iridium based catalysts are shown in Figure 7. The presence of two different iridium species on the surface of the catalyst was evidenced by deconvolution of the Ir4f peaks. The two doublets (including Ir4f<sub>5/2</sub> and Ir4f<sub>7/2</sub>) are identified as shown in Figure 7. For 1%Ir/SiO<sub>2</sub> the binding energy (BE) corresponding to metallic iridium species in the orbital 4f<sub>7/2</sub> is equal to 61.1 eV (Table 4) and in the orbital 4f<sub>5/2</sub> the value of 63.6 eV was detected (Figure 7a). In addition, the deconvoluted peaks indicated iridium oxide species attributed to the orbital 4f<sub>7/2</sub> and 4f<sub>5/2</sub> with BE of 62.8 eV and 65.8 respectively. The same results were observed for 3%Ir/SiO<sub>2</sub> and 5%Ir/SiO<sub>2</sub> catalysts (Figure 7b and 7c). It must be added that the surface iridium metallic species

content characterized by XPS increased with an increase of iridium loading in the catalyst. For instance 1%Ir/SiO<sub>2</sub> exhibit 56% of metallic iridium species whereas for 3%Ir/SiO<sub>2</sub> 76% of metallic iridium species were observed as shown Table 4. The doublets identified in all spectra can be assigned to the presence of surface metallic and oxide iridium species. In the case of 1%Ir/SiO<sub>2</sub>(Cl) (Figure 7d), more quantity of metallic Ir species were detected. Based on the XPS, traces of chlorine species were present as also evidenced by STEM-EDS (Figure 4b). This reveals that after reduction at 723 K the chlorine species remain on the surface of the catalyst [45].

### *3.2 Catalytic tests with probe molecules (KP and EP)*

The catalytic performance of the iridium catalysts was first investigated in the hydrogenation of ethyl pyruvate and ketopantolactone. These reactions provide an important synthetic approach to investigate catalytic activity in hydrogenation of the C=O bond. Synthesis of a large number of chemicals involves selective hydrogenation of  $\alpha$ ,  $\beta$  - unsaturated carbonyls into saturated compounds [51]. Hydrogenation of EP and KP produced ethyl lactate and pantolactone as products respectively (Figure 8). The aim to study these model compounds is also to obtain more knowledge concerned on the influence of some preparation parameters such as iridium precursor nature and the metal content (1, 3 and 5 wt.% of iridium) in the catalyst.

Figure 8a and 8b show respectively the catalytic performance of the iridium based catalysts for hydrogenation of KP and EP. Activity of iridium catalysts in hydrogenation of these probe molecules is strongly influenced by the metal dispersion, defined as the ratio of Ir surface atoms to the total Ir atoms in the catalyst. To rationalize the factors influencing the

reactivity and the product selectivity for each model compound the reaction rate constants ( $k$ ) were calculated at 35 minutes of the reaction (Table 5). The  $k$  values were expressed per the total amount of iridium in the catalyst allowing to identify that the rate constant was dependent on the metal dispersion. It must be added that this behavior was observed for the catalysts prepared by a chlorine-free route (1%Ir/SiO<sub>2</sub>, 3%Ir/SiO<sub>2</sub>, 5%Ir/SiO<sub>2</sub>).

A closer look must be given especially to 1%Ir/SiO<sub>2</sub>(Cl) prepared from the chlorine-containing precursor. Supported VIII group metals are commonly prepared by impregnation of a support with chlorine containing precursors followed by thermal treatment. It has been reported that residual chlorine cannot be totally removed from the catalyst by conventional thermal treatments [42, 45]. Therefore, it is of interest to reveal a role of the residual chlorine species in catalysts prepared from a chlorine-containing precursor.

For both probe molecules a decrease in  $k$  values was noticed in the case of 1%Ir/SiO<sub>2</sub>(Cl) catalyst compared with 1%Ir/SiO<sub>2</sub> (Table 5). For instance in hydrogenation of EP the rate constant 5.6 (min<sup>-1</sup> mol<sub>Ir</sub><sup>-1</sup> mol) for 1%Ir/SiO<sub>2</sub> is exceeding two fold the corresponding value for 1%Ir/SiO<sub>2</sub>(Cl). A decrease in the hydrogenation activity of 1%Ir/SiO<sub>2</sub>(Cl) for both probe molecules (KP and EP) may be attributed mainly to the iridium dispersion. The decrease in the hydrogenation activity for 1%Ir/SiO<sub>2</sub>(Cl) of the probe molecules can be attributed the presence of larger iridium particles. To this effect it must be added that some residual chlorine can remain in the catalyst, not being decomposed during the catalyst pretreatment [45, 52]. Chlorine species may act as a poison of the active iridium metal atoms required for hydrogenation [42, 45, 52] also explaining the observed decrease in its activity when compared with 1%Ir/SiO<sub>2</sub> catalyst. Thus activity decline for 1%Ir/SiO<sub>2</sub>(Cl)

(Table 5) can be due to a lower iridium dispersion, site blocking by chlorine species, electronic effects or a combination of them.

### 3.3 Hydrogenation of HMF to BHMF over Ir/SiO<sub>2</sub> catalysts.

Selective hydrogenation of HMF was investigated over 1%Ir/SiO<sub>2</sub> and 1%Ir/SiO<sub>2</sub>(Cl) showing much more promising behavior than hydrogenation of the probe molecules (KP and EP). Striking differences in the performance of 1%Ir/SiO<sub>2</sub> and 1%Ir/SiO<sub>2</sub>(Cl) in their ability to hydrogenate the probe molecules in comparison with HMF hydrogenation is observed. This point will be further addressed in the discussion part (*vide infra*).

Table 6 features the TOF numbers and the reaction rate constant  $k$  expressed per total amount of iridium in the catalyst. Similar TOF values measured at 10 min of the reaction were found in both cases indicating similar conversion at the beginning of the reaction, however a slight increase in activity was found for 1%Ir/SiO<sub>2</sub>(Cl). It must be stressed that this catalyst exhibited the highest constant with the value of 4.5 (min<sup>-1</sup> mol<sub>Ir</sub><sup>-1</sup> mol). This sample also contains the largest quantity of total acid sites with a value of 396 μmol g<sub>cat</sub><sup>-1</sup> (Table 3). In the case of HMF chlorine species may act as active acid sites.

The main product obtained by hydrogenation of HMF with iridium based catalysts (1%Ir/SiO<sub>2</sub> and 1%Ir/SiO<sub>2</sub>(Cl)) was BHMF (Scheme 2). In this case selectivity was 100% to BHMF and formation of MFA, which can be produced from deoxygenation of BHMF [15] or DMF and DMTHF was not observed. Our results are in agreement with the previous work [26], which reported for Ir/TiO<sub>2</sub> conversion of HMF equal to 99% and BHMF yield of 95% at 60 bar H<sub>2</sub> and 323 K.

### *3.4 Kinetics and modelling of HMF transformation to BHMF and DMF over Ir/SiO<sub>2</sub> catalysts in combination with H<sub>2</sub>SO<sub>4</sub>.*

The presence of sulfuric acid can enable one-pot synthesis of DMF from HMF over iridium based catalysts (Figure 9a) and thus in this work kinetics in HMF transformation to BHMF and DMF was investigated in the presence of sulfuric acid using iridium based catalysts (1%Ir/SiO<sub>2</sub> and 1%Ir/SiO<sub>2</sub>(Cl)) (Scheme 2).

TOF in HMF transformation with 1%Ir/SiO<sub>2</sub> (Cl) and H<sub>2</sub>SO<sub>4</sub> was two-fold obtained in the absence of chlorine species (Table 7). It should, however, be noted that with the chlorine containing catalyst the HMF transformation rate was retarded after 230 min reaction time, whereas the catalyst without chlorine retained its activity in HMF transformation during the whole reaction time. On the other hand, higher conversion of HMF during 300 min was obtained in the absence of chlorine species.

Evolution of the products derived from HMF conversion in the presence of H<sub>2</sub>SO<sub>4</sub> versus time using 1%Ir/SiO<sub>2</sub> and 1%Ir/SiO<sub>2</sub>(Cl) catalysts can be observed in Figures 9b and 9c, respectively. Particularly for the catalytic system comprising 1%Ir/SiO<sub>2</sub>(Cl) and H<sub>2</sub>SO<sub>4</sub> DMF and DMTHF were observed as products. When comparing selectivity at 70% conversion of HMF, it can be observed that Ir/SiO<sub>2</sub> +H<sub>2</sub>SO<sub>4</sub> catalytic system was more selective towards BHMF, whereas DMF selectivity was higher in the presence of chlorine containing Ir/SiO<sub>2</sub> with H<sub>2</sub>SO<sub>4</sub> (Table 7). Noteworthy is also that despite catalyst deactivation and a lower rate for HMF transformation in the case of 1%Ir/SiO<sub>2</sub>(Cl) with H<sub>2</sub>SO<sub>4</sub>, large amounts of an over-hydrogenolysis product, DMTHF with 23% selectivity at 70% conversion of HMF (Table 7) were formed. It has also been reported in the literature [26] that assistance of H<sub>2</sub>SO<sub>4</sub> in HMF transformation is facilitating further transformation of BHMF to DMF. Furthermore, over-hydrogenolysis products such as DMTHF are usually

promoted by strong acidic sites. Our results are in agreement with those obtained for one-pot hydrogenolysis of HMF to DMF [14]. The use of H<sub>2</sub>SO<sub>4</sub> alone without the iridium catalyst in the reaction medium gave only DMF (Figure 9a).

When plotting the concentration of DMF as a function of BMHF it can be observed that DMF was formed faster over the chlorine containing catalyst. The ratio between the initial rates for formation of DMF and BMHF was 2.7 fold higher for chlorine containing catalyst than for the one without chlorine. Due to a slower rate observed for Ir/SiO<sub>2</sub>, this catalyst could not catalyze over-hydrogenolysis of DMF to DMTHF, as was the case for chlorine containing Ir catalyst. The highest overall acidity of 1%Ir/SiO<sub>2</sub>(Cl) catalyst (396 μmol<sub>cat</sub><sup>-1</sup>, Table 3) and the presence of H<sub>2</sub>SO<sub>4</sub> may cause side reactions. It must be mentioned that in the catalytic tests in the presence of H<sub>2</sub>SO<sub>4</sub>, 1%Ir/SiO<sub>2</sub>(Cl) exhibited a higher TOF and rate constant (Table 7) in comparison with 1%Ir/SiO<sub>2</sub> catalyst *per se* (Table 6). An increase in the initial activity with H<sub>2</sub>SO<sub>4</sub> is due to additional formation of DMF as shown Figure 9a. It must be added that Thananattthanachon *et al.* [29] reported that HMF in refluxing THF with formic acid and H<sub>2</sub>SO<sub>4</sub> gave DMF in one-pot which is in agreement with our results. Again, it was not observed the presence of MFA. The previous studies suggest that hydrogenolysis of BHMF to DMF occurs via MFA as an intermediate [15]. It must be mentioned that hydrogenolysis products obtained by decarbonylation of HMF were also not detected under our experimental conditions.

Modeling of the experimental data from HMF transformation over Ir/SiO<sub>2</sub> together with H<sub>2</sub>SO<sub>4</sub> for two types of precursors (with and without chlorine) was performed using Scheme 2 and the corresponding following rate equations:

$$r_{HMF \rightarrow BHMF} = k_1 C_{HMF} a \quad (1)$$

$$r_{B\text{HMF} \rightarrow \text{DMF}} = k_2 C_{B\text{HMF}} a \quad (2)$$

$$r_{\text{DMF} \rightarrow \text{DMTHF}} = k_3 C_{\text{DMF}} a \quad (3)$$

which should be solved together with the mass balances for different components in a batch reactor

$$\begin{aligned} -\frac{1}{\rho} \frac{dC_{\text{HMF}}}{dt} &= r_{\text{HMF} \rightarrow \text{B\text{HMF}}} ; \frac{1}{\rho} \frac{dC_{\text{B\text{HMF}}}}{dt} = r_{\text{HMF} \rightarrow \text{B\text{HMF}}} - r_{\text{B\text{HMF}} \rightarrow \text{DMF}} ; \\ \frac{1}{\rho} \frac{dC_{\text{DMF}}}{dt} &= r_{\text{B\text{HMF}} \rightarrow \text{DMF}} - r_{\text{DMF} \rightarrow \text{DMTHF}} ; \frac{1}{\rho} \frac{dC_{\text{DMTHF}}}{dt} = r_{\text{DMF} \rightarrow \text{DMTHF}} ; \end{aligned} \quad (4)$$

where  $\rho$  is the catalyst bulk density,  $r_i$  and  $k_i$  are the rates and rate constants for respective steps, etc. In eq. (1)-(3) is an activity function accounting for catalyst deactivation during the reaction with time  $t$

$$a = a_0 e^{-k_d t} \quad (5)$$

Where the initial activity  $a_0$  was taken as unity.

Thus, the model consisting of 4 fitted parameters was used to predict concentration dependences of 3 compounds. Kinetic modeling was performed using ModEst software [53]. The objective function (Q) for the residual sum of squares between the calculated and experimental data was minimized during the parameter estimation to search for the best-fit values using the Levenberg–Marquardt algorithm implemented in the software. The error function is defined as:

$$Q = \sum (C_{i,t}(\text{est}) - C_{i,t}(\text{exp}))^2 \quad (6)$$

where  $i$  and  $t$  denote the components and the corresponding times, respectively.

The accuracy of the model description was determined with the  $R^2$  – coefficient or degree of explanation, which reflects comparison between the residuals given by the model with the residuals of the simplest model, i.e. the average value of all data points. The  $R^2$  value is given by expression:

$$R^2 = 100 \frac{(y_{model} - y_{experiment})^2}{(y_{model} - \bar{y}_{experiment})^2} \quad (7)$$

Table 8 contains the values of lumped values of kinetic constants which include also the catalyst bulk density. The results presented in Figure 9 clearly show that the model is able to capture the reaction network.

### 3.5. Recycling of Ir/SiO<sub>2</sub> catalyst

Finally recycling of the catalyst was studied in selective hydrogenation of HMF. In this case at the end of the first catalytic test 1%Ir/SiO<sub>2</sub> was re-used again without further activation for two more consecutive catalytic tests at the same experimental conditions. Figure 11 and Table 9 illustrate the catalytic performance of 1%Ir/SiO<sub>2</sub> catalyst during recycling. It must be stressed, that there was a decrease in catalytic activity probably due to deposition of residual organic species. These residual organic species suppress progressively the active sites responsible for hydrogenation of HMF. Previous studies have shown that furfural species have strong adsorption on metal base catalysts causing catalyst deactivation [54].

## 4. Discussion



Let us first consider hydrogenation of HMF over iridium based catalysts without  $\text{H}_2\text{SO}_4$  in the reaction medium. Multiple reactions could take place during hydrogenation of HMF, including hydrogenation of the carbonyl group of furan ring, removal of carbonyl or carboxyl group and ring opening of furan. HMF is first hydrogenated to BHMF which can further deoxygenated to MFA and finally deoxygenated to DMF [15]. In the experimental conditions of the current work the main product obtained by hydrogenation of HMF with iridium based catalysts (1%Ir/SiO<sub>2</sub> and 1%Ir/SiO<sub>2</sub>(Cl)) was BHMF. Formation of MFA which can be produced from deoxygenation of BHMF [15] was not observed.

Catalytic performance in hydrogenation of the probe molecules (EP and KP) with iridium catalysts (1%Ir/SiO<sub>2</sub>; 3%Ir/SiO<sub>2</sub>; 5%Ir/SiO<sub>2</sub>) can be explained based on the metal dispersion. This is clearly seen in the catalytic results presented in Table 5. The  $k$  values decrease markedly as the metal dispersion decreases. The effect of the residual chlorine species in 1%Ir/SiO<sub>2</sub>(Cl) catalyst must be also considered. This catalyst exhibited a  $k$  value lower in comparison with the corresponding value for 1%Ir/SiO<sub>2</sub>. This result can be attributed not only to a lower iridium dispersion but also to some suppression of the iridium active sites by chlorine species. This possibility cannot be ruled out since traces of chlorine species were detected by XPS and STEM-EDS for 1%Ir/SiO<sub>2</sub>(Cl). Chemisorption results for 1%Ir/SiO<sub>2</sub>(Cl) (Table 2) confirm presence of larger iridium particles and more heterogeneity in size distribution than in the case of 1%Ir/SiO<sub>2</sub> catalyst. In this respect, only a residual fraction of accessible iridium atoms of 1%Ir/SiO<sub>2</sub>(Cl) may be involved in hydrogenation of the probe molecules. It must be added that for the probe molecules oxygen in the carbon-oxygen bond of the carbonyl group attracts electrons. However, in the

presence of adjacent electron-attracting groups the carbonyl group may be destabilized compromising some polarization of these molecules on the catalyst surface.

Different factors can be tentatively proposed to interpret preferential hydrogenation of the C=O bond in HMF over Ir/SiO<sub>2</sub> by the presence of chlorine species. A fundamental question is why chlorine species enhance the selective hydrogenation of HMF. Selectivity to BHMF can be improved by inhibiting hydrogenation of the C=C bond. Knowledge of hydrogenation rates of C=C and C=O bonds is helpful to interpret selectivity changes that may occur in hydrogenation of multifunctional compounds [25]. For HMF hydrogenation, the acid sites can activate the hydrogenation of the C=O bond according to the literature [55]. Surface acidity of iridium catalysts measured by ammonia TPD (Figure 5 and Table 3) suggests that the catalyst prepared with a chlorine-containing precursor (1%Ir/SiO<sub>2</sub>(Cl)) has a higher total acidity (Table 3). Chlorine species on the catalyst surface (confirmed by XPS and STEM-EDS analysis) may induce some polarization of the carbonyl group of HMF promoting its adsorption. The presence of chlorine species may lead to a certain amount of electron deficient iridium species even after reduction of the catalyst. This may promote the local electronic density around the iridium species influencing the stability and reactivity of HMF. Thus, a lower dispersion of 1%Ir/SiO<sub>2</sub>(Cl) is counterbalanced by the presence of chlorine species. In this respect, it should be considered that in hydrogenation of HMF, chlorine species activate the C=O group facilitating hydrogen transfer from the adjacent iridium atoms to the oxygen atom of the C=O bond. It must be also considered, that some resonance stabilization especially in HMF ring may compensate some destabilization promoted by the adjacent groups of the carbonyl group. As a result HMF must be a better electron donor than the probe molecules (KP and EP). Moreover, HMF may adsorb more strongly promoting hydrogenation of the C=O bond [56]. On the other

hand, it must be also taken into account that the presence of  $\pi$ -system in HMF may enhance its interactions with the catalyst surface. Such interactions between the metal surface and oxygen in the HMF carbonyl group yielding BHMF would be allowed by a preferential adsorption in the  $\eta^1(\text{O})$  configuration as suggested by the previous work [57]. Finally, some influence of iridium oxide species as an important catalytic descriptor cannot be discarded. The presence of these species can also influence hydrogenolysis of BHMF to DMF. Table 4 presents the XPS results of iridium catalysts indicating existence of iridium oxide on the catalyst. Iridium oxidized species, in contact or in the vicinity of metallic iridium may act as Lewis sites, interacting with the lone electron pair of oxygen atom of the C=O group favoring its hydrogenation [57].

Applicability of  $\text{H}_2\text{SO}_4$  to assist hydrodeoxygenation of BHMF obtained from hydrogenation of HMF (Scheme 2) was elucidated in this work. The presence of sulfuric acid can enable one-pot synthesis of DMF from HMF over iridium based catalysts. For the combination of sulfuric acid with 1%Ir/SiO<sub>2</sub>(Cl) DMTHF was also observed as a product (Figure 9). A drawback of using strong acids is associated with possible side reactions [39]. In addition, it must be stressed that 1%Ir/SiO<sub>2</sub>(Cl) exhibits the highest total acidity (Table 3) when compared with other iridium catalysts.

Combination of iridium catalysts and  $\text{H}_2\text{SO}_4$  can be viewed as an effective strategy to convert HMF to DMF in one-pot. The role of  $\text{H}_2\text{SO}_4$  as Brønsted acid is in assisting dehydroxylation in the presence of hydrogen giving BHMF and water. Importantly, for the combination of 1%Ir/SiO<sub>2</sub>(Cl) and  $\text{H}_2\text{SO}_4$ , DMTHF was also observed as a product (Figure 9). The presence of strong acid sites in the 1%Ir/SiO<sub>2</sub> and  $\text{H}_2\text{SO}_4$  catalytic system may be responsible for such behavior [14].

## 5. Conclusions

Selective hydrogenation of biomass derived 5-hydroxymethylfurfural, an important platform molecule for production of value added compounds, was investigated over iridium based catalysts. The effect of the iridium precursor, iridium loading and addition of a mineral acid such as  $\text{H}_2\text{SO}_4$  was evaluated in the catalytic transformation of HMF. Different iridium loading in the range 1-5 wt.% on silica support was evaluated using a Cl-free precursor. 1%Ir/SiO<sub>2</sub> was also prepared with a Cl containing precursor for comparison and tested in hydrogenation of two probe molecules, ethyl pyruvate and ketopantolactone to investigate specifically hydrogenation of the C=O bond. Dispersion of iridium was a key parameter in hydrogenation of the probe molecules. Suppression of the iridium active sites was observed especially for the catalyst prepared from a chlorine containing precursor (1%Ir/SiO<sub>2</sub>(Cl)) which also exhibited a higher total acidity.

The presence of chlorine species as a source of acid sites was crucial for activation of the carbonyl group in HMF promoting formation of BHMF. A mineral acid ( $\text{H}_2\text{SO}_4$ ) as a source of Brønsted acid sites together with the iridium based catalyst was highly effective in one-pot chemical transformations of HMF to DMF.

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## Table Captions

**Table 1.** N<sub>2</sub> physisorption of SiO<sub>2</sub> and iridium catalysts

Sample	S <sub>BET</sub> (m <sup>2</sup> g <sup>-1</sup> )	Pore volume (cm <sup>3</sup> g <sup>-1</sup> )	Pore diameter (nm)
SiO <sub>2</sub>	293	0.789	10.9
1%Ir/SiO <sub>2</sub>	294	0.797	10.8
3%Ir/SiO <sub>2</sub>	294	0.747	9.6
5%Ir/SiO <sub>2</sub>	284	0.706	9.7
1%Ir/SiO <sub>2</sub> (Cl)	295	0.801	9.7

**Table 2.** Metal particle size (d) and dispersion (D) determined by transmission electron microscopy and chemisorption results for iridium catalysts

Sample	d <sub>TEM</sub> (nm)	D <sub>TEM</sub> (%)	D <sub>CHEM</sub> (%)	Chemisorbed hydrogen (μmol/g <sub>cat</sub> )
1%Ir/SiO <sub>2</sub>	1.6 ±0.8	58 <sup>a,b</sup>	50	13.1
3%Ir/SiO <sub>2</sub>	1.8 ±0.8	51 <sup>b</sup>	38	32.4
5%Ir/SiO <sub>2</sub>	2.2 ±1.2	42 <sup>b</sup>	28	37.1
1%Ir/Si(Cl)	4.7 ±2.8	20 <sup>a</sup>	*	0.1

<sup>a</sup> HAADF-STEM

<sup>b</sup> TEM

\* Undetermined

**Table 3.** NH<sub>3</sub>-TPD of the iridium catalysts

Sample	NH <sub>3</sub> desorption ( $\mu\text{mol g}_{\text{cat}}^{-1}$ )
1% Ir/SiO <sub>2</sub>	94
3% Ir/SiO <sub>2</sub>	109
5% Ir/SiO <sub>2</sub>	117
1% Ir/Si(Cl)	396

**Table 4.** Surface composition of the catalysts and binding energies (eV) of Ir4f<sub>7/2</sub>.

Sample	Binding energy (eV)	% Atomic fractions
1% Ir/SiO <sub>2</sub>	61.1 <sup>a</sup>	56 <sup>a</sup>
	62.8 <sup>b</sup>	44 <sup>b</sup>
3% Ir/SiO <sub>2</sub>	61.0 <sup>a</sup>	71 <sup>a</sup>
	62.8 <sup>b</sup>	29 <sup>b</sup>
5% Ir/SiO <sub>2</sub>	61.1 <sup>a</sup>	76 <sup>a</sup>

	62.9 <sup>b</sup>	24 <sup>b</sup>
1% Ir/SiO <sub>2</sub> (Cl)	61.0 <sup>a</sup>	86 <sup>a</sup>
	62.8 <sup>b</sup>	14 <sup>b</sup>

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<sup>a</sup> iridium metallic, <sup>b</sup> oxide iridium species

**Table 5.** Reaction rate constant calculated until 35 min of reaction over iridium catalysts in the hydrogenation of ethyl pyruvate (EP) and ketopantolactone (KP) and dispersion determined by TEM and HAADF-STEM.

Catalyst	Dispersion (%)	k (min <sup>-1</sup> mol <sub>Ir</sub> <sup>-1</sup> mol)	k (min <sup>-1</sup> mol <sub>Ir</sub> <sup>-1</sup> mol)
		(EP hydrogenation)	(KP hydrogenation)
1% Ir/SiO <sub>2</sub>	58 <sup>a,b</sup>	5.6	5.4
3% Ir/SiO <sub>2</sub>	51 <sup>b</sup>	1.6	2.5
5% Ir/SiO <sub>2</sub>	42 <sup>b</sup>	0.3	2.4
1% Ir/Si(Cl)	20 <sup>a</sup>	2.4	2.3

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<sup>a</sup> HAADF-STEM

<sup>b</sup> TEM





**Table 6.** Catalytic performance in the HMF conversion over iridium catalysts (1% Ir/SiO<sub>2</sub>, 1% Ir/SiO<sub>2</sub>(Cl))

Catalyst	Conversion (%) <sup>a</sup>	TOF (s <sup>-1</sup> ) <sup>b</sup>	k (min <sup>-1</sup> mol <sub>Ir</sub> <sup>-1</sup> mol) <sup>c</sup>	Selectivity <sup>d</sup>
1% Ir/SiO <sub>2</sub>	60	0.5	0.24	100
1% Ir/SiO <sub>2</sub> (Cl)	97	0.8	4.5	100

<sup>a</sup> Conversion determined at 300 min, <sup>b</sup> calculated after 10 min using the equation  $\text{TOF} = (\text{initial moles of HMF} \times \text{conversion}) / (\text{Ir moles} \times 600\text{s})$ . <sup>c</sup> reaction rate constant calculated until 35 min of reaction, <sup>d</sup> Selectivity to BHMF at 300 min. <sup>e</sup> Selectivity to DMF at 300 min and <sup>h</sup> Selectivity to DMTHF at 300 min.

**Table 7.** Catalytic performance of 1% Ir/SiO<sub>2</sub>, 1% Ir/SiO<sub>2</sub>(Cl) in the presence of sulfuric acid.

Catalysts	Conversion (%) <sup>a</sup>	TOF (s <sup>-1</sup> ) <sup>b</sup>	k (min <sup>-1</sup> mol <sub>Ir</sub> <sup>-1</sup> mol) <sup>c</sup>	Selectivity to BHMF <sup>d</sup>	Selectivity to DMF <sup>d</sup>	Selectivity to DMTHF <sup>d</sup>
1% Ir/SiO <sub>2</sub> + H <sub>2</sub> SO <sub>4</sub>	78	3.0	2.0	83	17	0
1% Ir/SiO <sub>2</sub> (Cl) + H <sub>2</sub> SO <sub>4</sub>	74	5.9	4.6	52	24	23

<sup>a</sup> Conversion determined at 300 min, <sup>b</sup> calculated after 10 min using the equation  $\text{TOF} = (\text{initial moles of HMF} \times \text{conversion}) / (\text{Ir moles} \times 600\text{s})$ . <sup>c</sup> reaction rate constant calculated until 35 min of reaction, <sup>d</sup> Selectivity at 70% conversion (%).

**Table 8.** Values of parameters for the model comprising eq. (1-5).

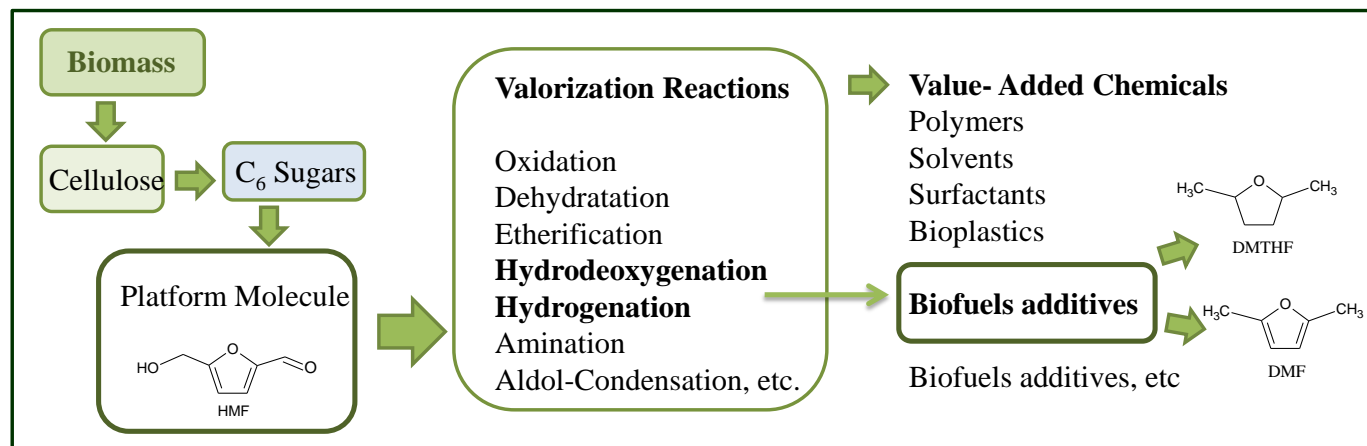
catalyst/Parameter	$\rho k_1$ min <sup>-1</sup>	$\rho k_2$ min <sup>-1</sup>	$\rho k_3$ min <sup>-1</sup>	$k_d$ min <sup>-1</sup>	R <sup>2</sup> , %
1% Ir/SiO <sub>2</sub> + H <sub>2</sub> SO <sub>4</sub>	0.0057±0.0005	0.00093±0.00015	negligible	0.0017±0.001	99.01
1% Ir/SiO <sub>2</sub> (Cl) + H <sub>2</sub> SO <sub>4</sub>	0.0097±0.0016	0.0045±0.001	0.0045±0.0016	0.0083±0.0024	92.43

**Table 9.** Catalytic performance of the 1%Ir/SiO<sub>2</sub> catalyst in the reuse in the HMF transformation

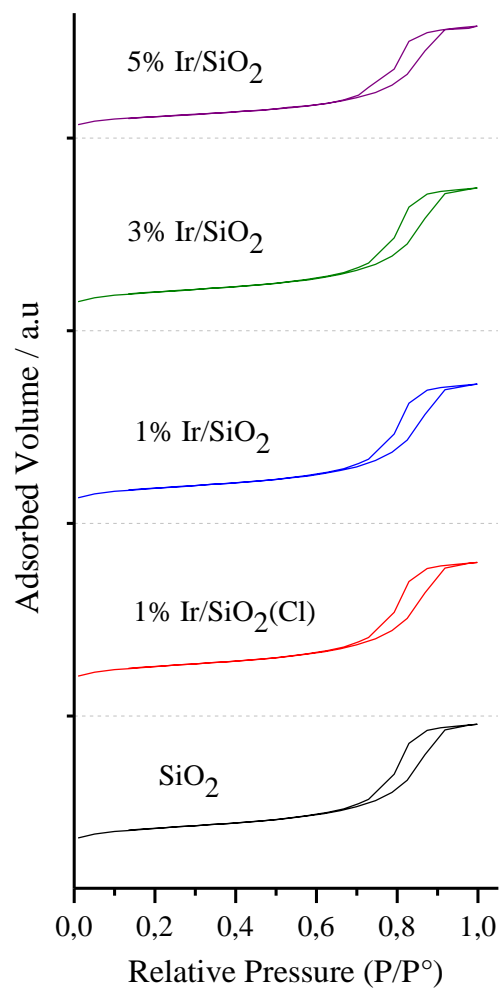
Reaction	Conversion (%) <sup>a</sup>	k (min <sup>-1</sup> mol <sub>Ir</sub> <sup>-1</sup> mol) <sup>b</sup>
0	60	0.24
Recycle 1	54	0.21
Recycle 2	47	0.14

<sup>a</sup> Conversion determined at 300 min and <sup>b</sup> reaction rate constant calculated until 35 min of reaction, respectively.

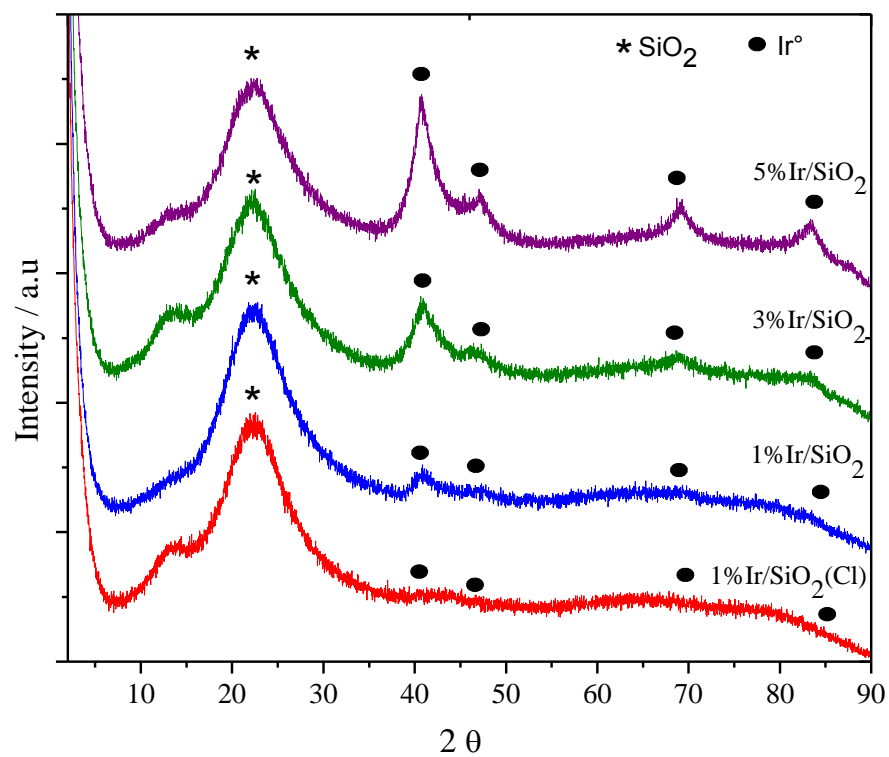
**Figure Captions**



**Scheme 1.** Biomass transformation to HMF and the reaction network of HMF conversion to chemicals.

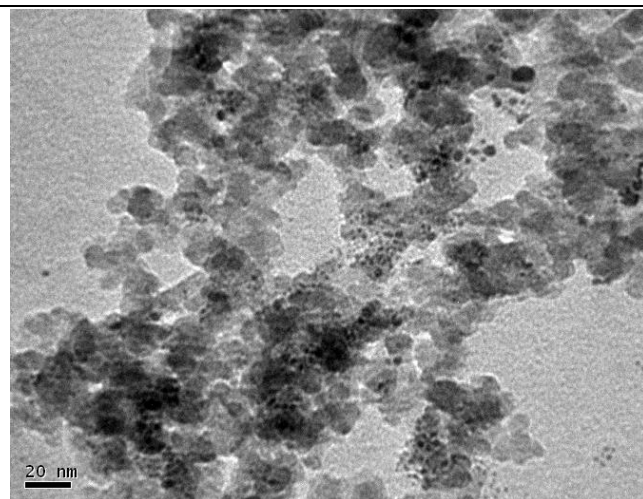
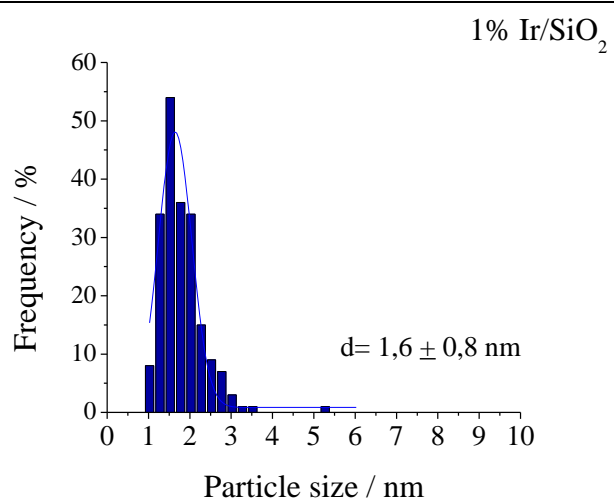


**Figure 1.** N<sub>2</sub>-physorption of SiO<sub>2</sub> and supported iridium catalysts.

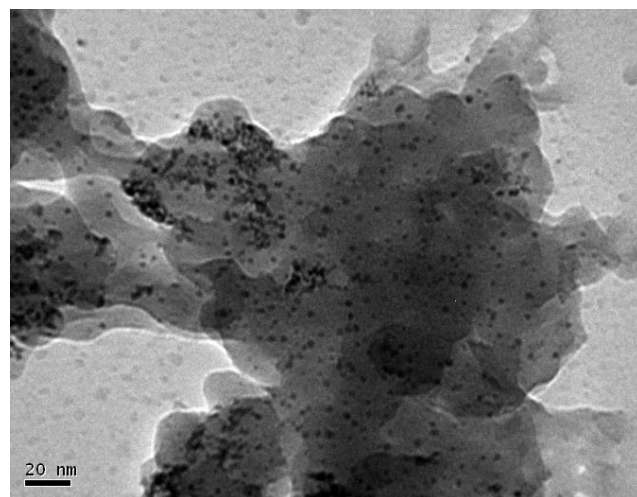
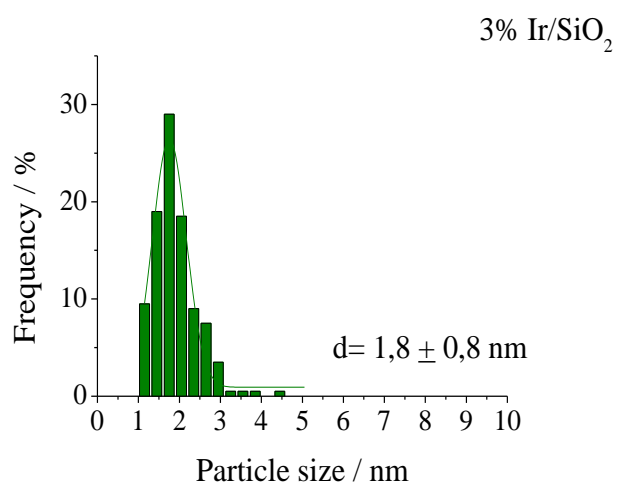


**Figure 2.** XRD of iridium catalysts.

a)

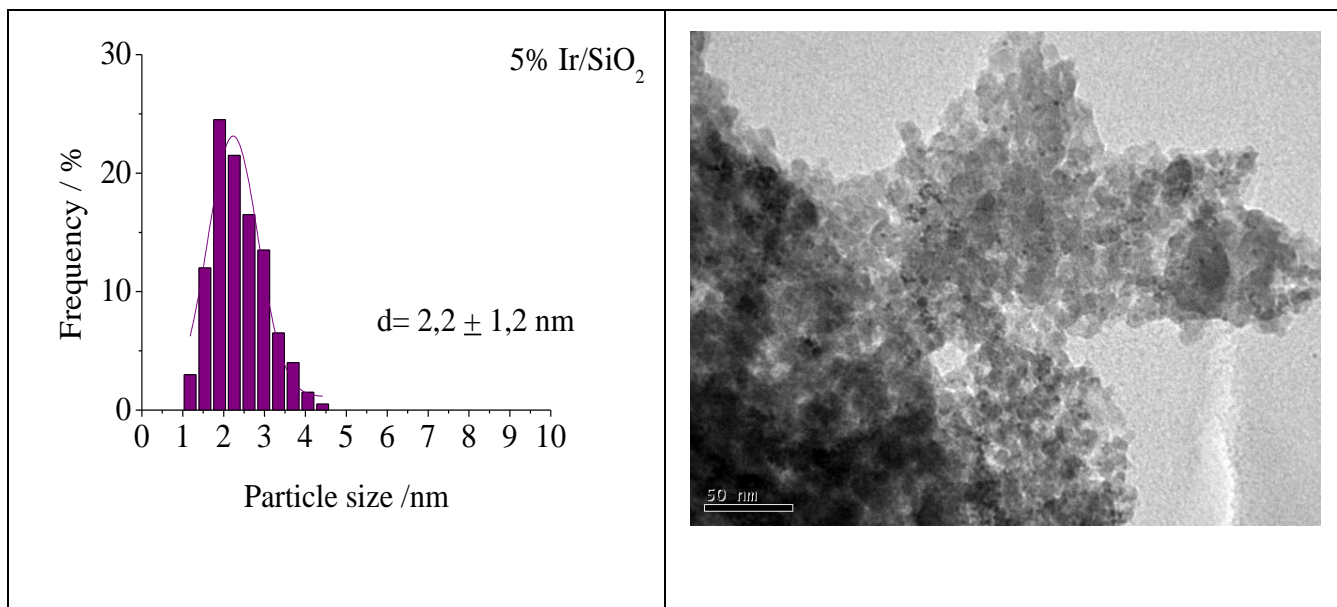


b)



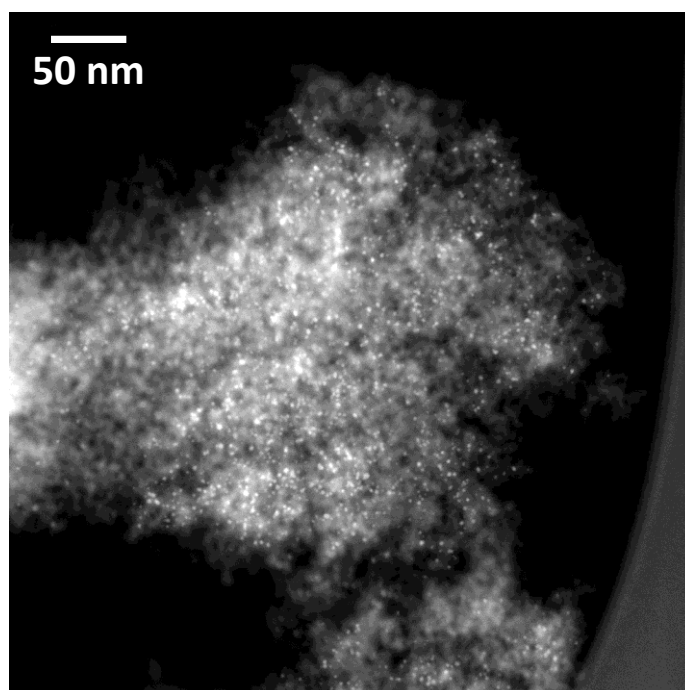


c)

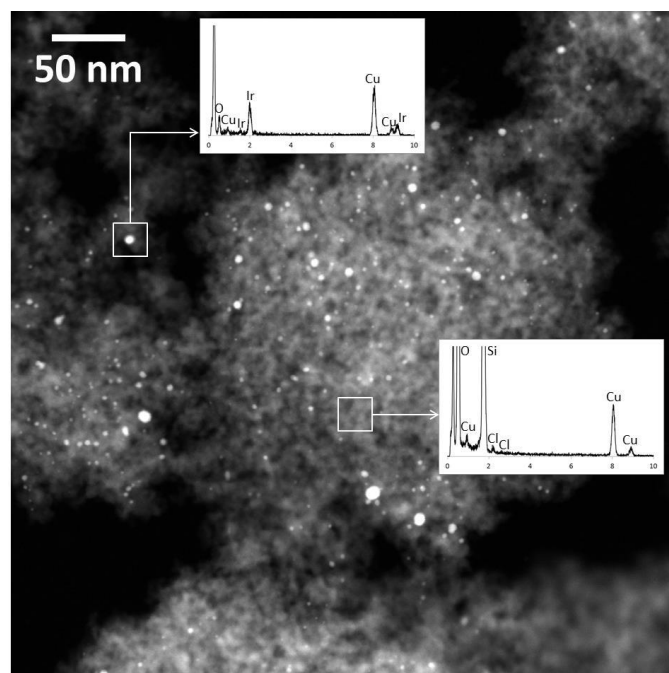


**Figure 3.** Electron microscopy. Histograms and TEM images of a) 1%Ir/SiO<sub>2</sub>, b) 3%Ir/SiO<sub>2</sub>, and c) 5%Ir/SiO<sub>2</sub>

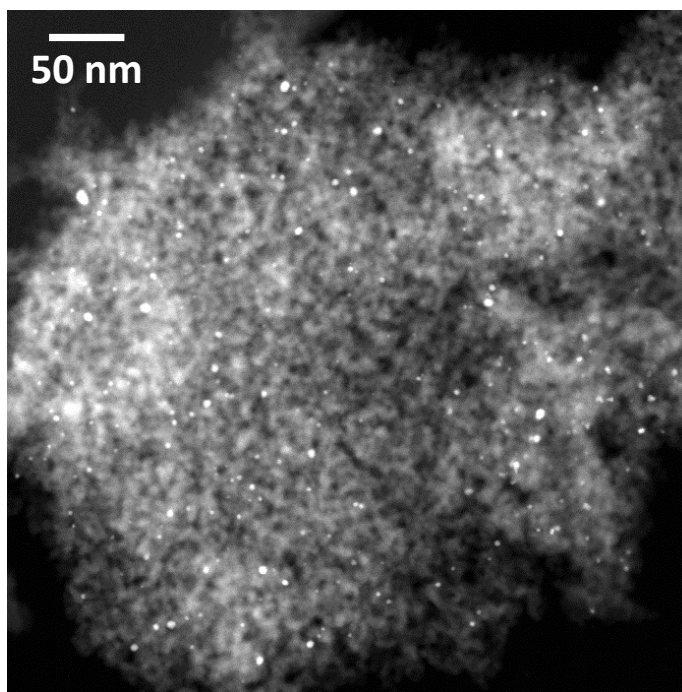
a)



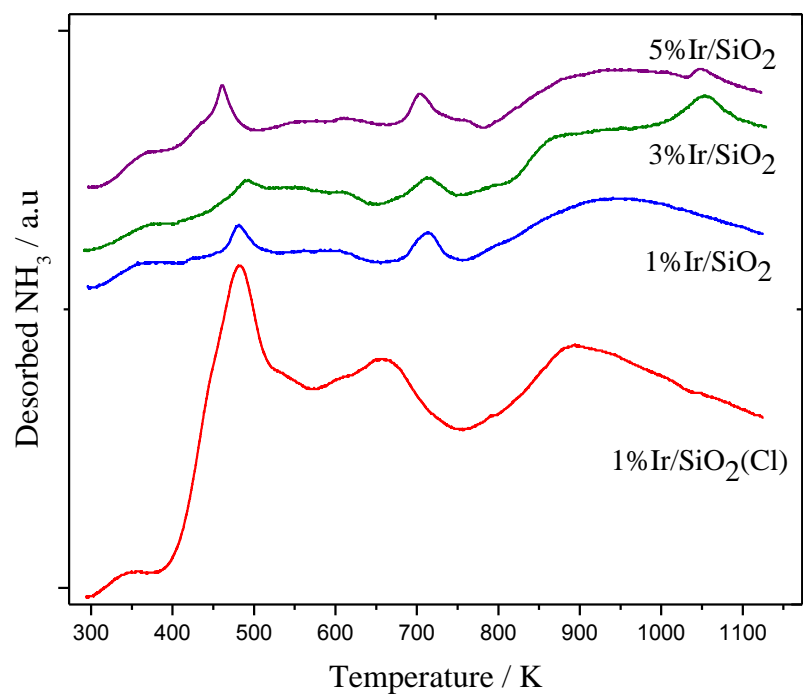
b)



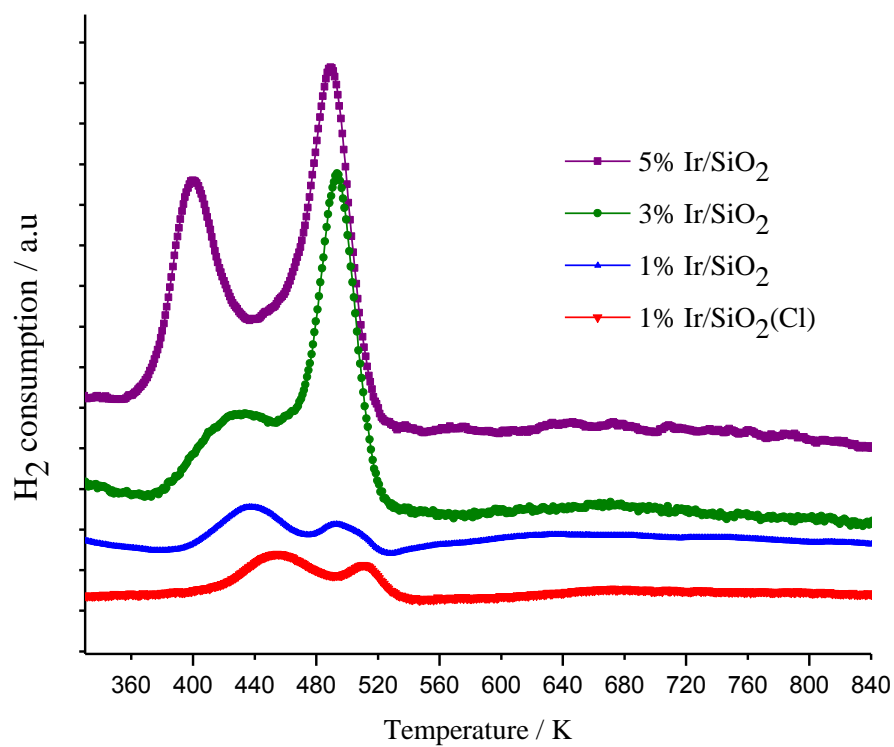
c)



**Figure 4.** High angle annular dark field (HAADF-STEM) images a) 1% Ir/SiO<sub>2</sub>, b) 1% Ir/SiO<sub>2</sub>(Cl) including EDS and c) 1% Ir/SiO<sub>2</sub>(Cl).

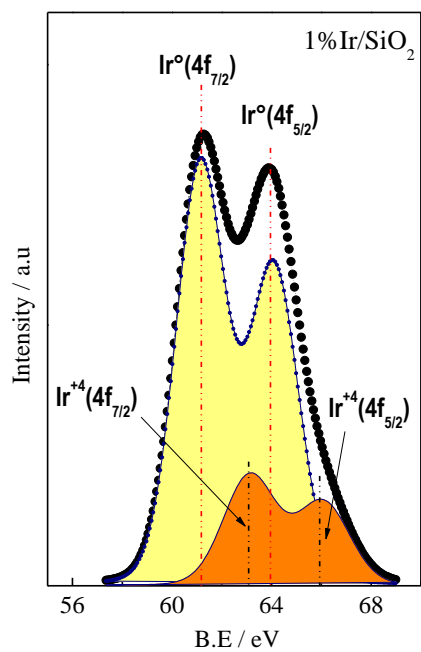


**Figure 5.** NH<sub>3</sub>-TPD analysis of the supported iridium catalysts.

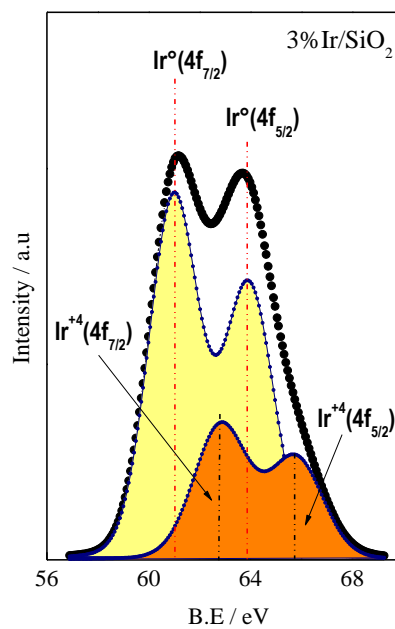


**Figure 6.** TPR profiles of the iridium based catalysts.

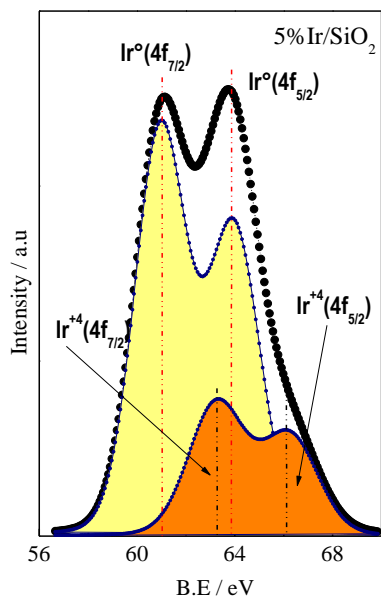
a)



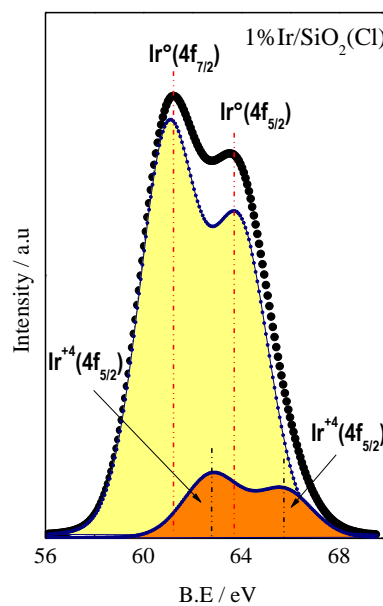
b)



c)

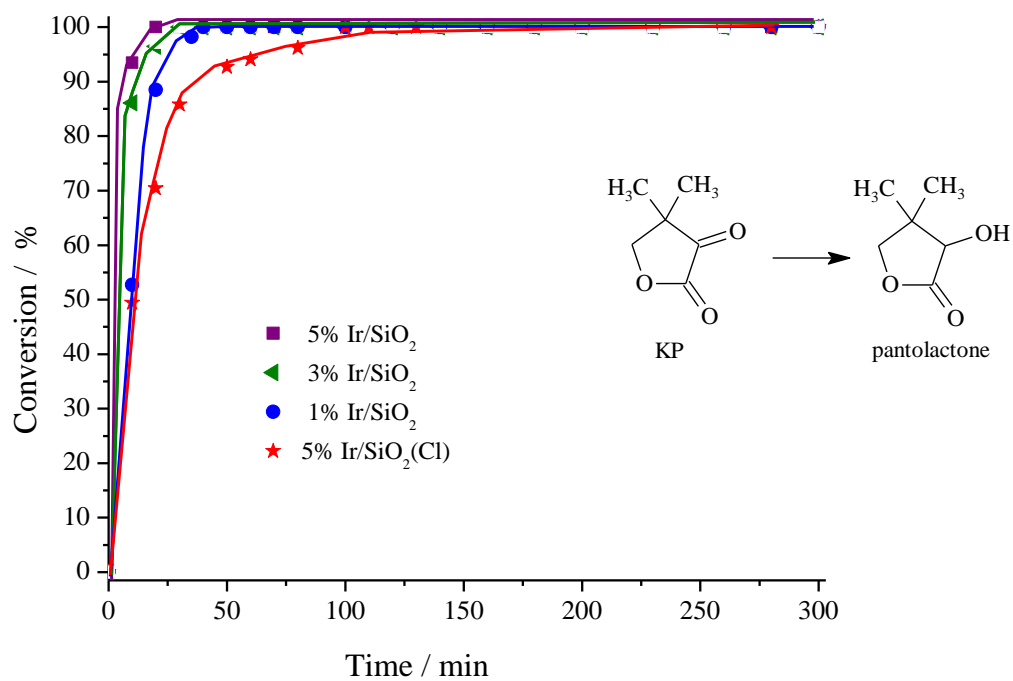


d)

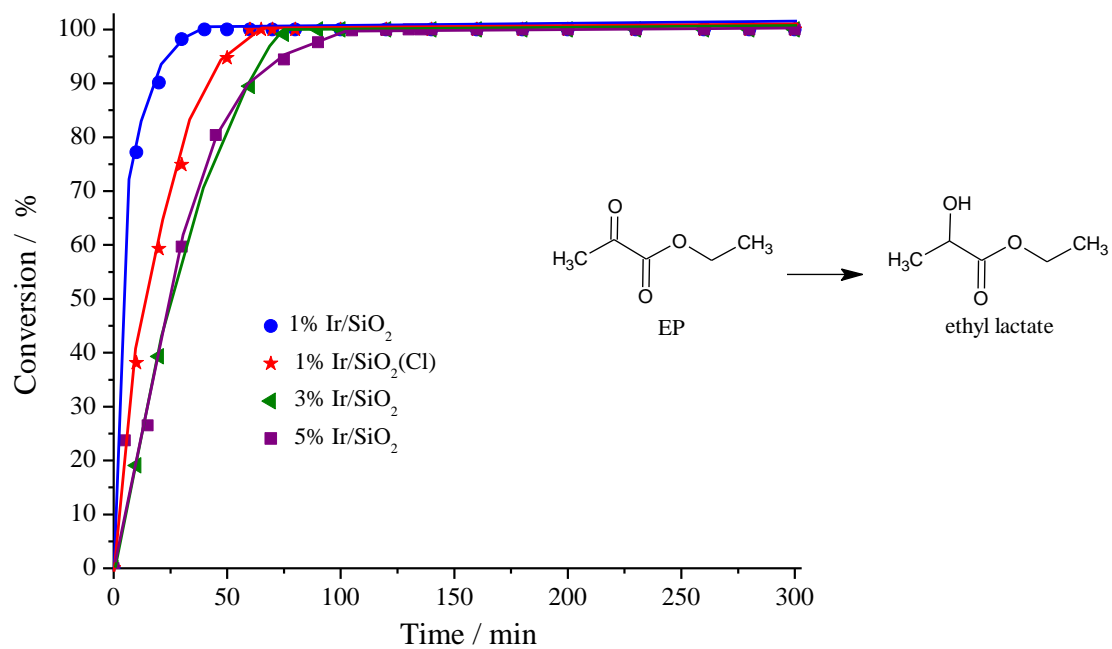


**Figure 7.** XPS of the iridium catalysts.

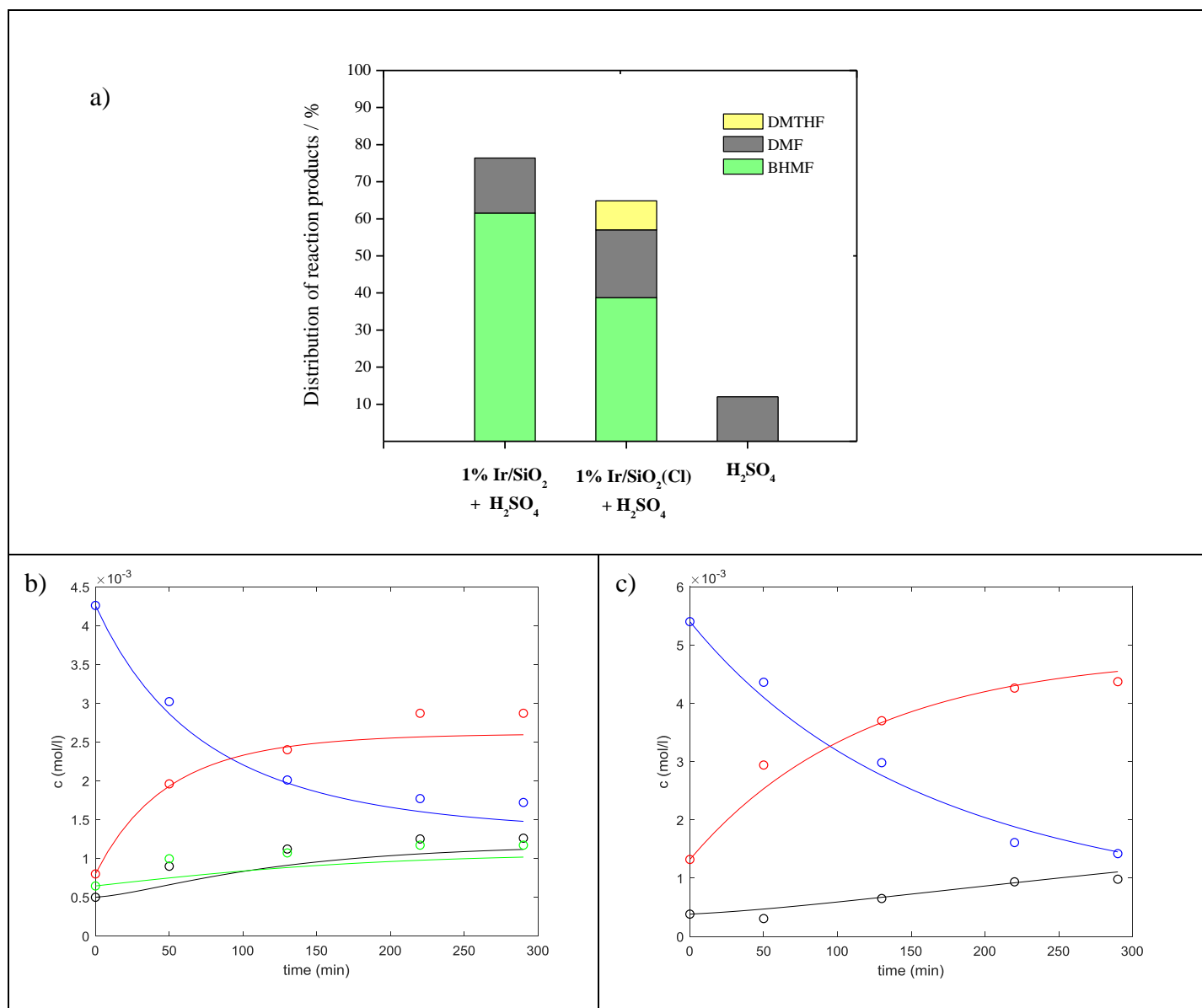
a)



b)

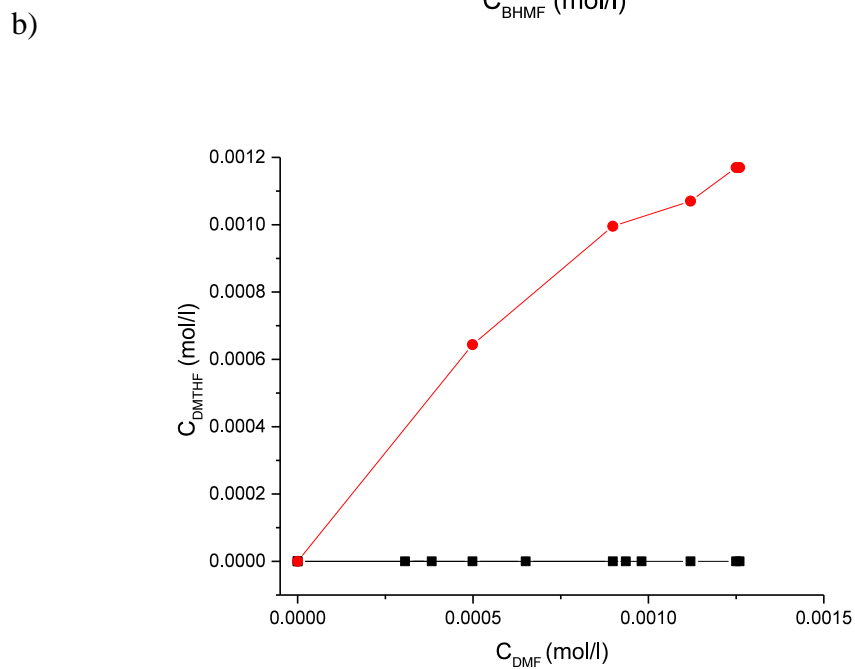
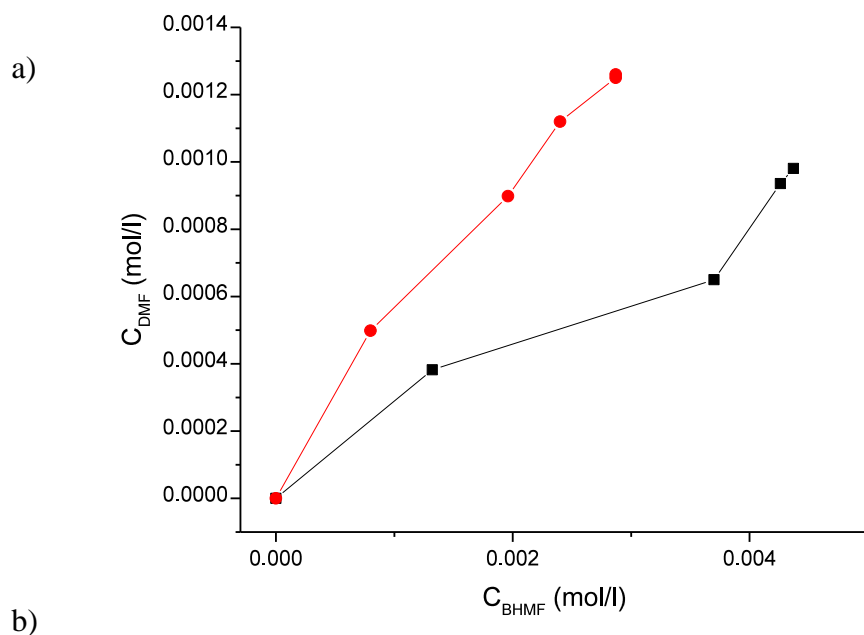


**Figure 8.** Hydrogenation of probe molecules on Ir catalysts: a) KP and b) EP.

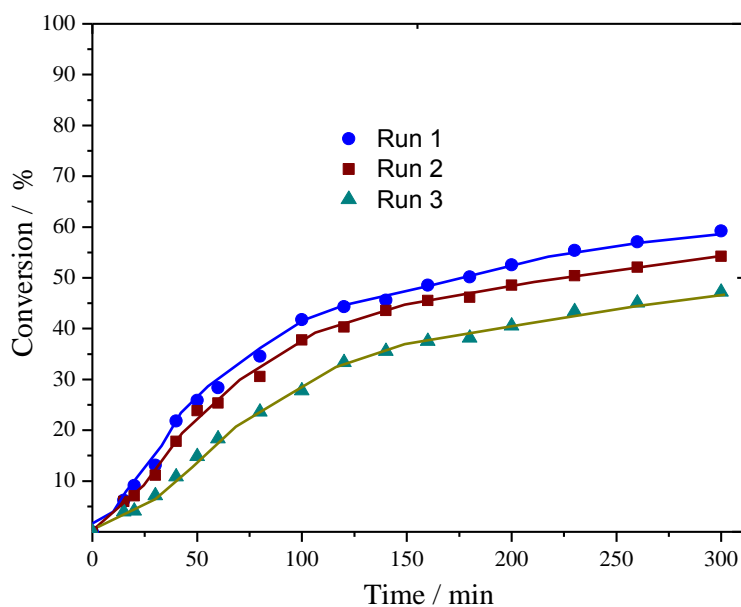


**Figure 9.** Hydrodeoxygenation of HMF in the presence of sulfuric acid. a) Distribution of products after 300 min, as well as concentration profiles over b) 1%Ir/SiO<sub>2</sub> and c) 1%Ir/SiO<sub>2</sub>(Cl). Experiment (O), model (-), blue HMF, red BHMF, black DMF, green DMTHF.

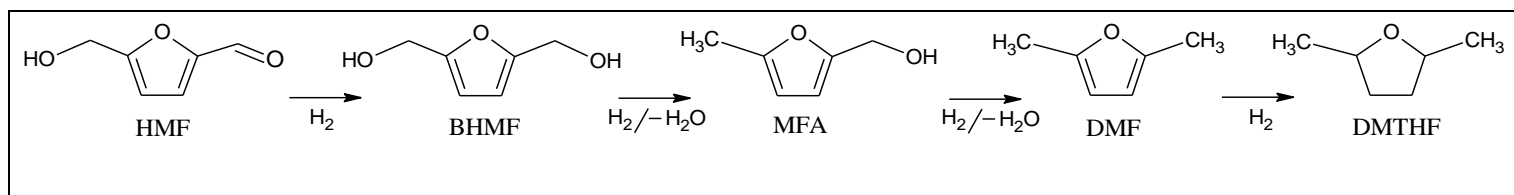




**Figure 10.** a) Concentration of a) DMF as a function of BHMF concentration and b) concentration of DMTHF as a function of DMF concentration. Notation: 1%Ir/SiO<sub>2</sub> +H<sub>2</sub>SO<sub>4</sub> (●) and 1%Ir/SiO<sub>2</sub>(Cl) +H<sub>2</sub>SO<sub>4</sub> (■).



**Figure 11.** Concentration profiles for recycling experiments with 1% Ir/SiO<sub>2</sub> catalyst.



**Scheme 2.** Overall reaction pathways for conversion of HMF to DMF and DMTHF.